To: Erin Bennett, Regulatory Compliance Division Director

From: Darrel Spinks, Executive Director, Texas Behavioral Health Executive Council

Date: June 3, 2022

Subject: Rule 781.501, Requirements for Continuing Education

---

Note: This memorandum, the proposed rule, and any amendments should be submitted to the Regulatory Compliance Division as a single, combined PDF.

The Texas Behavioral Health Executive Council has proposed new rule 22 Texas Administrative Code Section 781.501, as published in the April 22, 2022, issue of the Texas Register. The proposed rule may affect market competition and is submitted to the Regulatory Compliance Division for review. The PDF version of the preamble and text of the proposed rule as published in the Texas Register, and the language of any amendments to the proposed rule that the Texas Behavioral Health Executive Council intends to adopt, are attached to this memorandum.

Include if Applicable: The Texas Behavioral Health Executive Council requests an expedited review of the proposed rule based on the following circumstances: Pursuant to Gov’t. Code Sec. 2001.027 a proposed rule must be withdrawn if it is not adopted within six months of publication. The proposed rule was published in the April 22, 2022, issue of the Texas Register so it must be withdrawn if not adopt before October 21, 2022. The Executive Council’s next regularly scheduled meetings are on August 23, 2022, and then on October 25, 2022. Therefore the Executive Council must take action on this proposed rule at its August 23rd meeting. Additionally, this rule originated from the Texas State Board of Social Worker Examiners, so it must first be reviewed by the Board and recommended to the Executive Council before it can be adopted. The next regularly scheduled meetings for the Board are on July 8, 2022, and then October 7, 2022. Therefore the Board must take action on this proposed rule at its July 8th meeting, so an expedited review is requested to accommodate the necessary timing for adoption.
To facilitate the Regulatory Compliance Division's review of the proposed rule, the Texas Behavioral Health Executive Council provides answers to the following questions.

1. **Briefly describe the proposed rule.**

   The proposed rule pertains to the continuing education requirements for Social Worker licensees.

2. **What is the purpose of the proposed rule?**

   The proposed new rule consolidates all the continuing education requirements contained in multiple rules, 781.508 – 781.514, into one single rule. Additionally, this rule adds and changes some of the requirements for each renewal cycle. For example, licensees will now be required to complete three hours of cultural diversity or competency. Licensees with supervisor status will be required to complete six hours in supervision, instead of three previously, but these hours can be counted towards the total required minimum hours. Licensees who take the jurisprudence exam can claim one hour of ethics credit, and licensees can now claim up to one hour of self-study continuing education credit.

3. **Describe any relevant factual background to the proposed rule and the impetus for the state agency to consider rulemaking.**

   The Executive Council created a Standardization Committee with the goal of identifying areas where the underlying behavioral health boards have similarities. Once certain areas were identified the Standardization Committee would work to draft model rules for those areas in the hope of standardizing some of those requirements across all the professions. The intent of this standardization effort is to create greater efficiencies in agency processes as well as clearer requirements for all licensees. For example, there are some individuals that hold licenses from more than one Board, so keeping up with the numerous different rules and requirements can be burdensome on both the licensee and agency staff. The Standardization Committee consists of four Executive Council Members, one from each underlying Board, and members of the public, e.g. representatives from professional associations, licensees, and members of the public familiar with these professions.

   One of the first areas identified by the Standardization Committee was continuing education. A draft of a single replacement rule was discussed for each of the four Boards. While there was general agreement regarding the framework of the rule, some details for the rule were specific to each Board. For example, the number of hours required for each renewal cycle is currently different for each Board: professional counseling is 24 hours, marriage and family therapy is 30 hours, psychology is 40 hours, and social work is 30 hours;
and the proposed rule kept these same requirements. What this proposed rule does is standardize the requirements for which hours or courses will count towards these required hours. Several years in the past some of these Boards, but not all, would preapprove continuing education providers, as a mechanism to ensure the requisite standards for continuing education were met. After the Sunset Commission’s review of these Boards a management action recommended reform of the rules pertaining to approving continuing education providers, and subsequently such rule requirements were repealed and the Boards no longer approve continuing education providers. As a means of vetting the providers of continuing education, but not moving back to the repealed system of the agency reviewing and approving providers, the Standardization Committee reviewed and accepted an alternative approach that is currently in the Psychology Board’s rules. The Psychology Board’s rule pertaining to continuing education requires licensees to obtain at least fifty percent of their continuing education from an approved provider. Per current 22 Tex. Admin Code Sec. 463.35(f), an approved provider is:

national, regional, state, or local psychological associations; public school districts; regional service centers for public school districts; state or federal agencies; or psychology programs, or counseling centers which host accredited psychology training programs, at regionally accredited institutions of higher education; and other formally organized groups providing professional development that is directly related to the practice of psychology.

The proposed new continuing education rule was modeled after this standard and expanded upon this list of approved providers. Proposed 22 Tex. Admin. Code Sec. 781.501(f) states:

Licensees must obtain at least fifty percent of their continuing education hours from one or more of the following providers: (1) an international, national, regional, state, or local association of medical, mental, or behavioral health professionals; (2) public school districts, charter schools, or education service centers; (3) city, county, state, or federal governmental entities; (4) an institution of higher education accredited by a regional accrediting organization recognized by the Council for Higher Education Accreditation, the Texas Higher Education Coordinating Board, or the United States Department of Education; (5) religious or charitable organizations devoted to improving the mental or behavioral health of individuals; or (6) any provider approved or endorsed by a provider listed herein.

This rule was published for comment and the comment period closed on May 22, 2022. Numerous comments were received that voiced concerns regarding
proposed new social work continuing education rule. Additionally, the LPC Board voted and approved a similar rule to be sent to the Executive Council for review and possible publication in the *Texas Register*. Upon the LPC Board’s approval but prior to publication this agency received several comments regarding this approved provider list, which either opposed it or requested additional providers be added to the list. These comments raised a potential issue, by the agency only accepting up to fifty percent of the required continuing education hours from providers not on the rule’s approved provider list that could have a possible anticompetitive effect because those on the list are able to offer more services, e.g. acceptable hours, to licensees.

4. Describe the legal authority for the proposed rule.

   a. Is the proposed rule specifically required or authorized by state statute? If so, list the statute(s).

      Yes, see Sections 505.2015(1)(C), 507.153(1)(C), and 507.157 of the Occupations Code.

   b. Is the proposed rule within the scope of the state agency's general authority to regulate in a given occupation or industry? If so, describe how the proposed rule is within the scope, and reference the applicable state statute(s).

      Yes, the Executive Council regulates the professions of marriage and family therapy, professional counseling, psychology, and social work. Specifically, the Executive Council, in conjunction with the underlying Boards, has specific statutory authority requiring this agency to adopt continuing education requirements for licensees to maintain their licenses.

5. Describe the process that the state agency followed in developing the proposed rule, including any public hearings held, public comments invited, studies conducted, and data collected or analyzed.

As discussed above, the proposed rule is a product of the Executive Council’s Standardization Committee which involved discussion and collaboration from agency staff, members of the public, stakeholders or members of associations, licensees, and Council/Board Members. Once the Standardization Committee recommended the rule it was brought before each Board for consideration and approval at a public meeting. Once approved by the Board it was forwarded to the Council for consideration and approval at a public meeting, and once the Council approved the draft rule it was published in the *Texas Register* for formal public comment.

6. Describe the harm that the proposed rule is intended to address and how the proposed rule will address the harm.
Generally speaking, the harm the entire rule is intended to address is to ensure that licensees remain educated and competent in the subject matter they are licensed to practice. Specifically, the part of the rule that may have anticompetitive effects is intended to ensure that the continuing education licensees are receiving is coming from sources that have a level of organization and oversight that ensures the quality of coursework provided; such as, institutions of higher education accredited by a regional accrediting organization recognized by the Council for Higher Education Accreditation.

7. Do any less restrictive alternatives to the proposed rule exist for addressing the same harm? If so, include a comparison of the proposed rule to the alternatives and a justification for not pursuing a less restrictive alternative. If no less restrictive alternatives exist, explain why.

There are possibly less restrictive alternatives that could be considered, such as including other individuals in the approved provider list as the LPC rule commenters requested. But including all licensees and other licensed professionals, such as attorneys, to align with these comments will potentially frustrate the purpose for this part of the rule. To allow licensees to receive all, and not just fifty percent, of their required continuing education from one individual licensee or attorney would mean all of that education would come from a single source that was not reviewed, edited, vetted, or the like by any other source or individual. Therefore, the verification of the quality of such continuing education would be difficult to determine.

8. Indicate how the proposed rule affects market competition (See Section 57.105(d), Texas Occupations Code).

☐ It creates a barrier to market participation in the state.

☒ It results in higher prices or reduced competition for a product or service provided by or to a license holder in the state.

☐ It both creates a barrier to market participation in the state and results in higher prices or reduced competition for a product or service provided by or to a license holder in the state.

9. Describe the specific impact that the proposed rule will have on market competition and how that effect is consistent with state policy as established by the Legislature in state statute.

As indicated in the LPC rule comments, there are some licensees that are solo practitioners and they provide, as part of their business, continuing education courses to other licensees. The rule will no longer allow licensees to receive all of
their continuing education from these types of providers, this agency will only count up to fifty percent of these types of course credits towards the total required hours. By not allowing these types of providers, e.g. individual licensees and/or solo practice providers, to provide all of the required continuing education then it could put these types of providers at a disadvantage when compared to those on the approved list, such as universities. If these types of providers can no longer be a one stop shop for all of a licensee’s continuing education needs then it could reduce the competition for the types of providers in the market place, or it could increase costs because it will require these type of providers to be reviewed and approved or endorsed by an approved provider, such as a university or professional association, which in turn could result in increased costs to the consumer licensees.

10. Does the proposed rule relate to a question that is the subject of an opinion request pending before the Office of the Attorney General? Does the proposed rule relate to an opinion previously issued by the Office of the Attorney General?

No.

11. Does the proposed rule relate to a matter on which there is pending litigation or a final court order?

No.

12. Is there anything else that the state agency would like the Regulatory Compliance Division to know about the proposed rule?

No.

Note: In addition to this memorandum and its required attachments, the state agency must provide copies of the state agency's administrative records regarding the proposed rule, including any information or comments that the state agency received from the public regarding the proposed rule. A state agency is not required to submit any privileged or confidential information to the Regulatory Compliance Division.
24 full months and a period of not more than 48 full months for LCSW or not more than 60 full months for Independent Practice Recognition (IPR). Even if the individual completes the minimum of 3000 hours of supervised experience and minimum of 100 hours of supervision prior to 24 months from the start date of supervision, supervision which meets the Council’s minimum requirements shall extend to a minimum of 24 full months.

(F) The supervisor and the supervisee bear professional responsibility for the supervisee’s professional activities.

(G) If the supervisor determines that the supervisee lacks the professional skills and competence to practice social work under a regular license, the supervisor shall develop and implement a written remediation plan for the supervisee.

(H) Supervised professional experience required for licensure must comply with §781.401 of this title (relating to Qualifications for Licensure) and §781.402 of this title (relating to Clinical Supervision for LCSW and Non-Clinical Supervision for Independent Practice Recognition) of this title and all other applicable laws and rules.

10. A Council-approved supervisor who wishes to provide supervision required as a result of a Council order must comply with this title, all other applicable laws and rules, and/or the following:

(A) A licensee who is required to be supervised as a condition of initial licensure, continued licensure, or disciplinary action must:

(i) submit one supervisory plan for each practice location to the Council for approval by the Council or its designee within 30 days of initiating supervision;

(ii) submit a current job description from the agency in which the social worker is employed with a verification of authenticity from the agency director or his or her designee on agency letterhead or submit a copy of the contract or appointment under which the licensee intends to work, along with a statement from the potential supervisor that the supervisor has reviewed the contract and is qualified to supervise the licensee in the setting;

(iii) ensure that the supervisor submits reports to the Council on a schedule determined by the Council. In each report, the supervisor must address the supervisee’s performance, how closely the supervisee adheres to statutes and rules, any special circumstances that led to the imposition of supervision, and recommend whether the supervisee should continue licensure. If the supervisor does not recommend the supervisee for continued licensure, the supervisor must provide specific reasons for not recommending the supervisee. The Council may consider the supervisor’s reservations as it evaluates the supervision verification the supervisee submits; and

(iv) notify the Council immediately if there is a disruption in the supervisory relationship or change in practice location and submit a new supervisory plan within 30 days of the break or change in practice location.

(B) The supervisor who agrees to provide Council-ordered supervision of a licensee who is under Council disciplinary action must understand the Council order and follow the supervision stipulations outlined in the order. The supervisor must address with the licensee those professional behaviors that led to Council discipline, and must help to remediate those concerns while assisting the licensee to develop strategies to avoid repeating illegal, substandard, or unethical behaviors.

(C) Council-ordered and mandated supervision timeframes are specified in the Council order.

The agency certifies that legal counsel has reviewed the proposal and found it to be within the state agency’s legal authority to adopt.

Filed with the Office of the Secretary of State on April 11, 2022.
TRD-202201314
Darrel D. Spinks
Executive Director
Texas State Board of Social Worker Examiners
Earliest possible date of adoption: May 22, 2022
For further information, please call: (512) 305-7706

22 TAC §781.501
The Texas Behavioral Health Executive Council proposes new §781.501, relating to Requirements for Continuing Education.

Overview and Explanation of the Proposed Rule. This proposed new rule consolidates all the continuing education requirements contained in multiple rules into this single rule. Additionally, this rule adds and changes some of the requirements for each renewal cycle. For example, licensees will now be required to complete three hours of cultural diversity or competency, licensees with supervisor status will still be required to complete six hours in supervision instead of three previously but these hours can be counted towards the minimum hours required, licensees who take the jurisprudence exam can claim one hour of ethics credit, and lastly licensees can now claim up to one hour of self-study continuing education credit.

Fiscal Note. Darrel D. Spinks, Executive Director of the Executive Council, has determined that for the first five-year period the proposed rule is in effect, there will be no additional estimated cost, reduction in costs, or loss or increase in revenue to the state or local governments as a result of enforcing or administering the rule. Additionally, Mr. Spinks has determined that enforcing or administering the rule does not have foreseeable implications relating to the costs or revenues of state or local government.

Public Benefit. Mr. Spinks has determined for the first five-year period the proposed rule is in effect there will be a benefit to licensees, applicants, and the general public because the proposed rule will provide greater clarity and consistency in the Executive Council’s rules. Mr. Spinks has also determined that for each year of the first five years the rule is in effect, the public benefit anticipated as a result of enforcing the rule will be to help the Executive Council protect the public.

Probable Economic Costs. Mr. Spinks has determined for the first five-year period the proposed rule is in effect, there will be no additional economic costs to persons required to comply with this rule.

Small Business, Micro-Business, and Rural Community Impact Statement. Mr. Spinks has determined for the first five-year period the proposed rule is in effect, there will be no adverse effect on small businesses, micro-businesses, or rural communities.

Regulatory Flexibility Analysis for Small and Micro-Businesses and Rural Communities. Mr. Spinks has determined that the proposed rule will have no adverse economic effect on small businesses, micro-businesses, or rural communities. Thus, the Executive Council is not required to prepare a regulatory flexibility analysis pursuant to §2006.002 of the Tex. Gov’t Code.
Local Employment Impact Statement. Mr. Spinks has determined that the proposed rule will have no impact on local employment or a local economy. Thus, the Executive Council is not required to prepare a local employment impact statement pursuant to §2001.022 of the Tex. Gov't Code.

Requirement for Rules Increasing Costs to Regulated Persons. The proposed rule does not impose any new or additional costs to regulated persons, state agencies, special districts, or local governments; therefore, pursuant to §2001.0045 of the Tex. Gov't Code, no repeal or amendment of another rule is required to offset any increased costs. Additionally, no repeal or amendment of another rule is required because the proposed rule is necessary to protect the health, safety, and welfare of the residents of this state and because regulatory costs imposed by the Executive Council on licensees is not expected to increase.

Government Growth Impact Statement. For the first five-year period the proposed rule is in effect, the Executive Council estimates that the proposed rule will have no effect on government growth. The proposed rule does not create or eliminate a government program; it does not require the creation or elimination of employee positions; it does not require the increase or decrease in future legislative appropriations to this agency; it does not require an increase or decrease in fees paid to the agency; it does not create a new regulation; it does not expand an existing regulation; it does not increase or decrease the number of individuals subject to the rule's applicability; and it does not positively or adversely affect the state's economy.

Takings Impact Assessment. Mr. Spinks has determined that there are no private real property interests affected by the proposed rule. Thus, the Executive Council is not required to prepare a takings impact assessment pursuant to §2007.043 of the Tex. Gov't Code.

Request for Public Comments. Comments on the proposed rule may be submitted to Brenda Skiff, Executive Assistant, Texas Behavioral Health Executive Council, 333 Guadalupe, Ste. 3-900, Austin, Texas 78701, or by email to rules@bhec.texas.gov. The deadline for receipt of comments is 5:00 p.m., Central Time, on May 22, 2022, which is at least 30 days from the date of publication in the Texas Register.

Statutory Authority. The rule is proposed under Tex. Occ. Code, Title 3, Subtitle I, Chapter 507, which provides the Texas Behavioral Health Executive Council with the authority to make all rules, not inconsistent with the Constitution and Laws of this State, which are reasonably necessary for the proper performance of its duties and regulations of proceedings before it.

Additionally, the Executive Council proposes this rule pursuant to the authority found in §507.152 of the Tex. Occ. Code which vests the Executive Council with the authority to adopt rules necessary to perform its duties and implement Chapter 507 of the Tex. Occ. Code.

In accordance with §505.2015 of the Tex. Occ. Code the Texas State Board of Social Worker Examiners previously voted and, by a majority, approved to propose this rule to the Executive Council. The rule is specifically authorized by §505.2015 of the Tex. Occ. Code which states the Board shall propose to the Executive Council rules regarding the qualifications necessary to obtain a license; the scope of practice, standards of care, and ethical practice; continuing education requirements for license holders; and a schedule of sanctions for violations of this chapter or rules adopted under this chapter.

The Executive Council also proposes this rule in compliance with §507.153 of the Tex. Occ. Code. The Executive Council may not propose and adopt a rule regarding the qualifications necessary to obtain a license; the scope of practice, standards of care, and ethical practice for a profession; continuing education requirements; or a schedule of sanctions unless the rule has been proposed by the applicable board for the profession. In this instance, the underlying board has proposed this rule to the Executive Council. Therefore, the Executive Council has complied with Chapters 505 and 507 of the Texas Occupations Code and may propose this rule.

Lastly, the Executive Council proposes this rule under the authority found in §2001.004 of the Tex. Gov't Code which requires state agencies to adopt rules of practice stating the nature and requirements of all available formal and informal procedures.

No other code, articles or statutes are affected by this section.

§781.501. Requirements for Continuing Education.

(a) Minimum Continuing Education Hours Required:

(1) A licensee must complete 30 hours of continuing education during each renewal period that they hold a license. The 30 hours of continuing education must include 6 hours in ethics and 3 hours in cultural diversity or competency.

(2) A licensee may carry forward to the next renewal period, a maximum of 10 hours accrued during the current renewal period if those hours are not needed for renewal.

(b) Special Continuing Education Requirements.

(1) A licensee with supervisory status must complete 6 hours of continuing education in supervision.

(2) The special continuing education requirements set out in this subsection may be counted toward the minimum continuing education hours required under subsection (a).

(c) Acceptable ethics hours include, but are not limited to continuing education on:

(1) State or federal laws, including agency rules, relevant to the practice of social work;

(2) Practice guidelines established by local, regional, state, national, or international professional organizations;

(3) Training or education designed to demonstrate or affirm the ideals and responsibilities of the profession; and

(4) Training or education intended to assist licensees in determining appropriate decision-making and behavior, improve consistency in or enhance the professional delivery of services, and provide a minimum acceptable level of practice.

(d) Acceptable cultural diversity or competency hours include, but are not limited to continuing education regarding age, disability, ethnicity, gender, gender identity, language, national origin, race, religion, culture, sexual orientation, and socio-economic status.

(e) Acceptable Continuing Education Activities.

(1) All continuing education hours must have been received during the renewal period and be directly related to the practice of social work;

(2) The Council shall make the determination as to whether the activity claimed by the licensee is directly related to the practice of social work.
(3) Except for hours claimed under subsection (h) of this section, all continuing education hours obtained must be designated by the provider in a letter, email, certificate, or transcript that displays the licensee’s name, topic covered, date(s) of training, and hours of credit earned; and

(4) Multiple instances or occurrences of a continuing education activity may not be claimed for the same renewal period.

(f) Licensees must obtain at least fifty percent of their continuing education hours from one or more of the following providers: (1) an international, national, regional, state, or local association of medical, mental, or behavioral health professionals; (2) public school districts, charter schools, or education service centers; (3) city, county, state, or federal governmental entities; (4) an institution of higher education accredited by a regional accrediting organization recognized by the Council for Higher Education Accreditation, the Texas Higher Education Coordinating Board, or the United States Department of Education; (5) religious or charitable organizations devoted to improving the mental or behavioral health of individuals; or (6) any provider approved or endorsed by a provider listed herein.

(g) Licensees shall receive credit for continuing education activities according to the number of hours designated by the provider, or if no such designation, on a one-for-one basis with one credit hour for each hour spent in the continuing education activity.

(h) Licensees may claim continuing education credit for each of the following activities:

(1) Passage of the jurisprudence examination. Licensees who pass the jurisprudence examination may claim 1 hour of continuing education in ethics.

(2) Preparing and giving a presentation at a continuing education activity. The maximum number of hours that may be claimed for this activity is 5 hours.

(3) Authoring a book or peer reviewed article. The maximum number of hours that may be claimed for this activity is 5 hours.

(4) Teaching or attending a graduate level course. The maximum number of hours that may be claimed for this activity is 5 hours.

(5) Self-study. The maximum number of hours that may be claimed for this activity is 1 hour.

(6) Successful completion of a training course on human trafficking prevention described by §116.002 of the Occupations Code. Licensees who complete this training may claim 1 hour of continuing education credit.

(i) The Council does not pre-evaluate or pre-approve continuing education providers or hours.

(j) Licensees shall maintain proof of continuing education compliance for a minimum of 3 years after the applicable renewal period.

The agency certifies that legal counsel has reviewed the proposal and found it to be within the state agency's legal authority to adopt.

Filed with the Office of the Secretary of State on April 11, 2022.