

OFFICE OF THE GOVERNOR
Economic Development and Tourism



Grant Application

(last revised May 2017)

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General Introduction to GURI and Application

The Governor’s University Research Initiative (GURI) was enacted by the 84th Legislature with a goal of bringing the best and brightest distinguished researchers in the world to Texas. GURI is a grant program to provide matching grants to assist eligible institutions in recruiting distinguished researchers to Texas in the areas of science, technology, engineering, mathematics, and medicine. The GURI Application Kit and Administrative rules provide more guidance and requirements on the GURI program.

Eligibility

An applicant institution interested in applying for an award from the GURI fund must meet all basic qualifying criteria, including but not limited to, the following:

- an applicant institution must be an eligible institution;
- the researcher proposed for recruitment must meet all the eligibility requirements necessary to qualify as a distinguished researcher;
- the applicant institution and researcher meet the requirements of the applicable provisions of Chapter 62, Subchapter H, of the Education Code; and
- the grant application has the support of the institution's president and of the institution's governing board, the chair of the institution's governing board, or the chancellor of the University System if the institution is a component of a University System.

Institution

1. Name of Applicant institution:

If OTHER, describe how your institution meets the requirement of an “eligible institution” defined under Section 62.161 (2), Subchapter H of the Texas Education Code and as further allowed in Chapter 61 of this Code.

Current Employer and Position of Distinguished Researcher Ineligible Recruiting Activities.

The eligible applicant institution cannot receive GURI funds to recruit a distinguished researcher or other employee from another eligible institution in Texas.

The eligible applicant institution cannot recruit a distinguished researcher or other employee from a private or independent institution of higher education in Texas, as defined by §61.003(15) of the Education Code, and which is subject to the jurisdiction of the Texas Higher Education Coordinating Board.

2. Where is the distinguished researcher currently employed?
3. What is the distinguished researcher’s current position?

Support of Institution

4. Attach signed letters of support for the application to recruit the distinguished researcher (PDF ONLY). Multiple Documents must be combined into one PDF file in order to attach.

Credentials of Distinguished Researcher

“Distinguished researcher” means a researcher who is:

- (A) a Nobel laureate or the recipient of an equivalent honor; or
- (B) a member of a national honorific society, such as the National Academy of Sciences, the National Academy of Engineering, or the National Academy of Medicine, formerly known as the Institute of Medicine or an equivalent honorific organization.

5. Name of distinguished researcher:
6. (YES/NO) Is the distinguished researcher a Nobel Laureate, and/or a member of The National Academy of Sciences, Engineering, or Medicine?

If yes, what Nobel Award did the distinguished receive and/or which National Academy did is the distinguished researcher a member?

7. (YES/NO) Is the distinguished researcher a member of an equivalent honorific organization?

If yes, describe the equivalent honorific organization and explain how it is equivalent to a Nobel Laureate or membership in the National Academy of Sciences, Engineering or Medicine.

Attach (PDF ONLY) documentation supporting the distinguished researcher's above claimed credentials. *Multiple Documents must be combined into one PDF file in order to attach.*

8. Describe the distinguished researcher's overall credentials.

Attach a resume/curriculum vitae (PDF ONLY). *Multiple Documents must be combined into one PDF file in order to attach.*

Current Status of Distinguished Researcher

9. (YES/NO) Has the distinguished researcher committed to employment with the applicant institution via a letter of intent, employment contract or other documentation? If no, go to question number 10.

If yes, describe the commitment along with the date committed to start. If no, go to question 10.

Attach all documentation of the commitment along with the date committed to start. (PDF Copy ONLY). *Multiple Documents must be combined into one PDF file in order to upload.*

10. If the distinguished researcher has not yet committed to employment, what is the date by which the distinguished researcher will be employed by the applicant institution? If yes to question number 9, fill in "Not Applicable" for this question.

Grant Narrative

11. Provide an executive summary of the recruitment project and the distinguished researchers proposed role at the applicant institution.
12. Describe in detail the distinguished researcher's proposed role and type of research work to be done at the applicant institution.
13. (YES/NO) Will the distinguished researcher be working in the fields of science, technology, engineering, mathematics, or medicine?

If yes, identify the field(s) and describe how the work of the distinguisher researcher fits into the specific field(s).
14. Describe, in detail, the applicant institution's recruitment efforts with respect to the distinguished researcher.
15. Describe the timeline for the recruitment project starting from when the distinguished researcher was identified as a possible recruit through end of recruitment efforts.
16. Describe, in detail, the applicant institution's timeline to expend the GURI grant funds?

Recruitment Priorities

17. Describe if and how the proposed recruitment of the distinguished researcher demonstrates a reasonable probability of enhancing Texas' national and global economic competitiveness.
18. Describe if and how the proposed recruitment of the distinguished researcher demonstrates the probability of creating a nationally or internationally recognized locus of research superiority or a unique locus of research.
19. Describe if and how the proposed recruitment of the distinguished researcher will be matched with a significant amount of funding from a federal or private source that may be transferred to the applicant institution.
20. Describe if and how the work of the distinguished researcher's research will be interdisciplinary and collaborative.
21. Describe the strategic plan, if any, for intellectual property development and commercialization of technology relating to the distinguished researcher's research.

22. Describe the likelihood that the distinguished researcher will not accept a position with the applicant institution without the institution's receipt of a GURI grant.
23. Describe the extent to which the work of the distinguished researcher's research offers the opportunity for interdisciplinary and collaborative research at the applicant institution and with other eligible institutions.
24. Describe, if any, the commercialization track record of the distinguished researcher.
25. Describe if and how the distinguished researcher will be engaged in, basic, translational, or applied research.
26. Describe if and how the distinguished researcher will be engaged in new research capabilities of the eligible institution or to expand the institution's existing research capabilities.

Grant Funding Information

Match

The GURI grant program will have a match requirement. An applicant eligible institution may commit for matching purpose any funds of the institution immediately available for that purpose other than appropriated general revenue.

The match requirement must be met by cash or in-kind commitments equal to the amount of the grant award made by OOG.

The GURI grant award may not be used as a source of funding to support a match requirement for any other grant obtained by the institution.

27. Provide a description of the source(s) of matching funds. None of the matching funds can be from appropriated general revenue.
28. How will the committed match assist in meeting the overall objectives for the proposed recruitment? Include detail on expenditures within the proposed committed match from the grant budget.
29. Describe in detail the applicant institution's timeline to expend the committed match funds?

Budget

Unless otherwise stated by the OOG in the grant application, eligible budget categories include the following cost categories:

- (1) One-Time Salary Supplement;
- (2) Professional and Consultant;
- (3) Travel;
- (4) Equipment;
- (5) Supplies
- (6) Construction; and
- (7) Direct operating expenses.

All applicant institutions must submit a completed grant budget on the form prescribed by the OOG.

Grants funds will be awarded on a reimbursement-only basis. Grantees will be reimbursed for authorized actual expenditures substantiated by documentation submitted to the OOG. If allowed, the OOG may use an alternative method of payment.

All budget items must be reasonable and necessary and properly allocated within each budget category.

30. Attach a Budget Worksheet for the proposed use of GURI grant funds and the proposed use of the committed matching funds. Upload the completed Budget Worksheet. (Excel Copy ONLY). All budget items must be included within an allowed cost category and total GURI Grant request.

Optional Additional Narrative

31. Any additional narrative the Applicant institution wishes to provide that may be helpful in understanding and reviewing the proposal.

Applicant institution Point of Contact

32. If Point of Contact is different than the person logged in filling out this application, provide the name, mailing address, email address and phone number of the person.

Statement Supporting the Grant Application

This signed statement must be submitted with the Grant Application or the Application will not be considered.

APPLICATION FOR DISTINGUISHED RESEARCHER: _____

NAME OF APPLICANT INSTITUTION: _____

1. **CERTIFICATIONS AND ASSURANCES.** The undersigned certifies that it shall comply with the requirements of applicable state law, regulations, and policies, including, but not limited to, Texas Government Code Chapter 783, the administrative rules of the Texas Comptroller of Public Accounts at Title 34, Chapter 20, Subchapter I of the Texas Administrative Code, and the State Uniform Grant Management Standards (UGMS)), including the Uniform Statement Grant Certification and Assurances, and the GURI administrative rules at Title 10, Part 5, Chapter 190 of the Texas Administrative Code.
2. **TRUE AND CORRECT INFORMATION.** The undersigned certifies that the information contained in this Grant Application is true and correct to the best of his or her knowledge.
3. **DEADLINES AND SUBMISSION OF APPLICATION.** The undersigned understands that the Applicant institution must submit the information and documents as required in the Application Kit. The undersigned further acknowledges that:
 - It is the Applicant institution's responsibility to submit the Grant Application to the OOG in the specified manner;
 - Grant Applications submitted in other formats will not be accepted;
 - The OOG accepts no responsibility for delays in electronic submission delivery; and
 - Proof of sending a document by email or other means is not proof that the OOG received the information.

(NAME)

Name of Certifying Official

(TITLE)

Title of Certifying Official